



# SOX Compliance 2.0

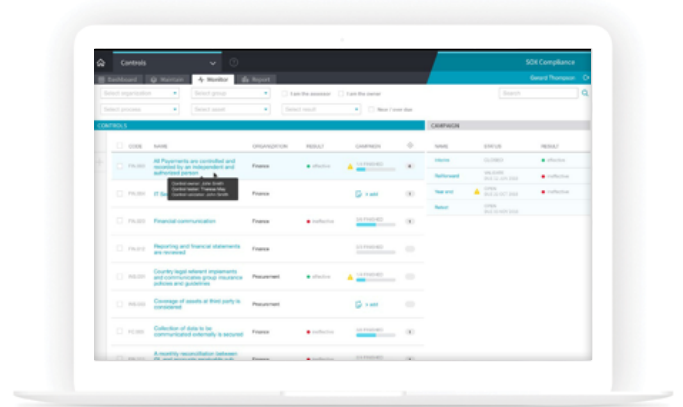
Our next-generation SOX solution – powered by BWISE technology – to accelerate your compliance program, establish leadership and investor trust, and reduce SOX management costs.

## Key Benefits

- **Accelerate your compliance program** – SaaS-based solution to get you up and running in a matter of days through best practice approach, easy-to-use templates and pre-configured workflows
- **Establish leadership and investor trust** – Greater transparency and insights into your internal control program helping you demonstrate a reliable picture of performance and a strong control environment, while reducing the burden of regulatory audits
- **Reduce SOX management costs** – Create efficiencies through the automation of your SOX compliance processes and the reduction of manual and repetitive tasks

## Key Features

- **Best practice configuration** – based on COSO methodology and our experience in supporting listed companies
- **Optimal user experience** – through an intuitive interface, role-based views and inline tutorials (available 2019), eliminating the need for user training
- **Automated control test scheduling** – using pre-defined cadences to significantly reduce manual steps



SOX Compliance dashboard

## More Features

- **Smart scoping** – allowing to partially automate scoping while enabling key users to de-scope controls as required. **NEW**
- **Automated control test scheduling** – using pre-defined cadences and **quick start functionality** leveraging previous year's framework and scope to significantly reduce manual steps and jump start the next annual SOX cycle. **UPDATED**
- **Evidence-gathering workflows** – streamlining control testing programs and facilitating PBC requests. **NEW**
- **Advanced control testing capabilities**
  - Test samples, evidence and related issues seamlessly attached to control tests. **UPDATED**
  - Workflows enforcing consistent procedures for reviewing test work. **UPDATED**
- **Optimized issue remediation management** – enabling early warnings for remediation ahead of the end of the SOX cycle and driving accountability. **UPDATED**
- **Automated alerts** – to remind users of open tasks such as control tests, issue remediation or certification and possibility to send ad hoc reminders with one click. **UPDATED**
- **Advanced reporting** – providing real-time, consolidated view of your SOX compliance program, including:
  - Easy export of 404 and 302 certification with digital sign-off to facilitate SEC filing.
  - Eight reports out-of-the box with possibility to slice and dice data, from control test monitoring and action tracking to certification status and framework reports. **UPDATED**

## Contact Us

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