SAI GLOBAL

The Compliance Coloring Book

Volume Three

Created and Illustrated by SAI Global

TABLE OF CONTENTS

5 Introduction

6 Competition

8 Cybersecurity - Phishing

10 Environmental Health & Safety

12 Ethical Dilemmas

14 GDPR

16 Gifts & Hospitality Policies

18 Harassment and Misconduct

20 HIPAA Privacy & Security

22 Integrated Risk Management (IRM)

24 Intellectual Property

26 Internal Investigations and Audits

28 Modern Slavery

30 Third Party Risk

32 Social Media Risk

34 Tone from the Top and the Middle

36 Workplace Bullying and Gender Identity

INTRODUCTION

On September 26, 2016, the first ever National Compliance Officer Day, SAI Global hosted a round table conversation with three E&C professionals focused on overcoming stress and burnout to support the wellness of the compliance community. During the event, we asked a simple question "How do you unwind after a long day?" Exercise and wine were the first two responses, but next, drawing, painting, and coloring. That gave us an idea.

In April 2017, our team published the Compliance Coloring Book, releasing it online and printing the first batch for ECI's annual conference. Since then, over 15,000 professionals around the world have filled blank pages of risk-based illustrations with their time and creativity.

We responded to this overwhelming support and curiosity with Volume 2 in September 2018, loaded with new topics, designs, and pages, and this year, we've completed the trilogy with Volume 3. The Compliance Coloring Book has been to over 60 countries and across six continents. With it, an unusual and light way to start conversations about important issues, unwind with a stress-relieving artistic outlet, or simply unplug, tap into your inner child, and see things from a fresh perspective.

My team works hard to build new training ever year, but this isn't training; it's a fun and different resource that we enjoy creating for our community, and we hope you enjoy it too.

Sincerely,

Rebecca Turco, SVP of Learning

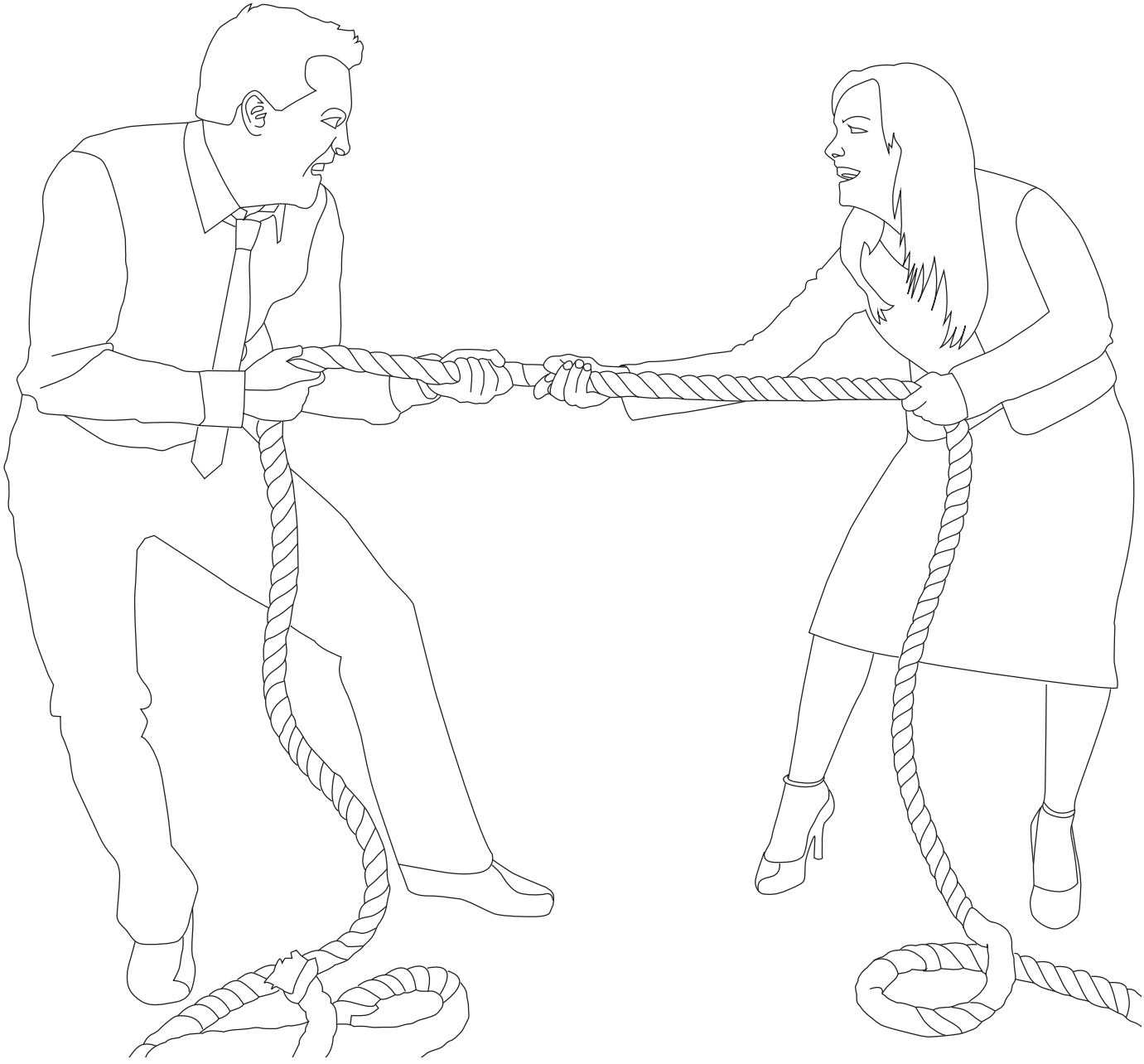
SAI Global

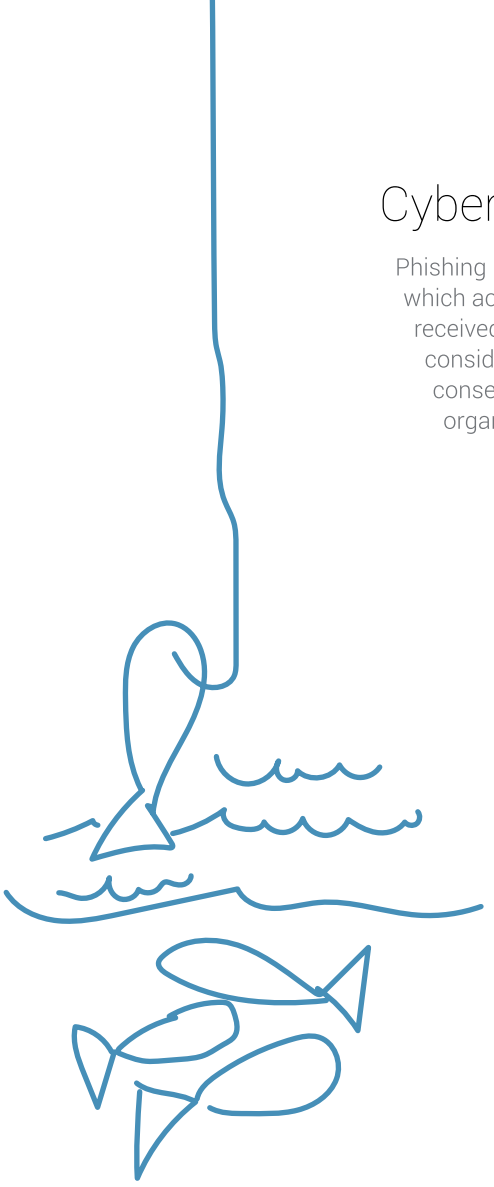
Competition

It's inevitable that you may interact with a competitor at some point your career, however, violating competition laws can have severe consequences for the individuals involved and the organizations they represent. It's important to understand and recognize situations where anti-competitive practices might occur, know how to respond, and feel comfortable reporting this if it happens.

Share your colored pages on LinkedIn using #ComplianceColoringBook

Competition





Cybersecurity – Phishing

Phishing is a hacking tactic that gets you to click on a link that you perceive as harmless, which actually has malicious and harmful intent. They're designed to resemble frequently received emails, like a password reset notice or email from a manager, and are considered one of the top human threats to cybersecurity. As innocent it may seem, the consequences of clicking a link delivered through a phishing scheme can damage your organization's bottom line and reputation.

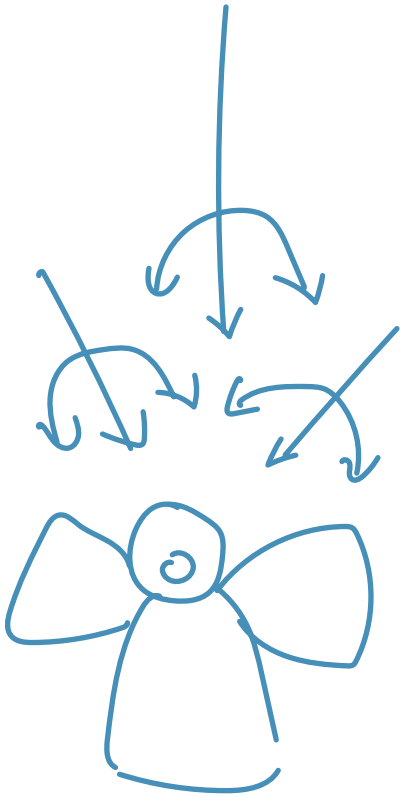
Cybersecurity — Phishing

Environmental Health & Safety

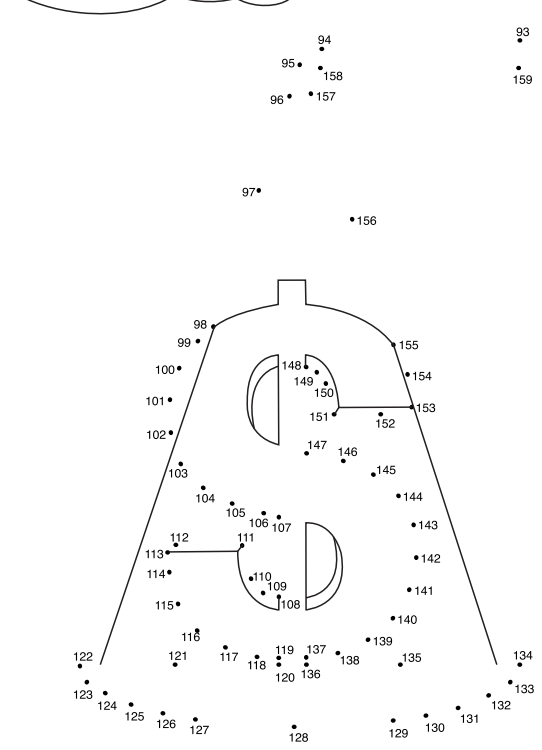
Environmental health and safety helps save lives, keeps employees and contractors safe, protects the environment, and lowers operating expenses. To learn more about how you can manage your EHS risks with monitoring, workflows, software, and reporting, visit saiglobal.com today.

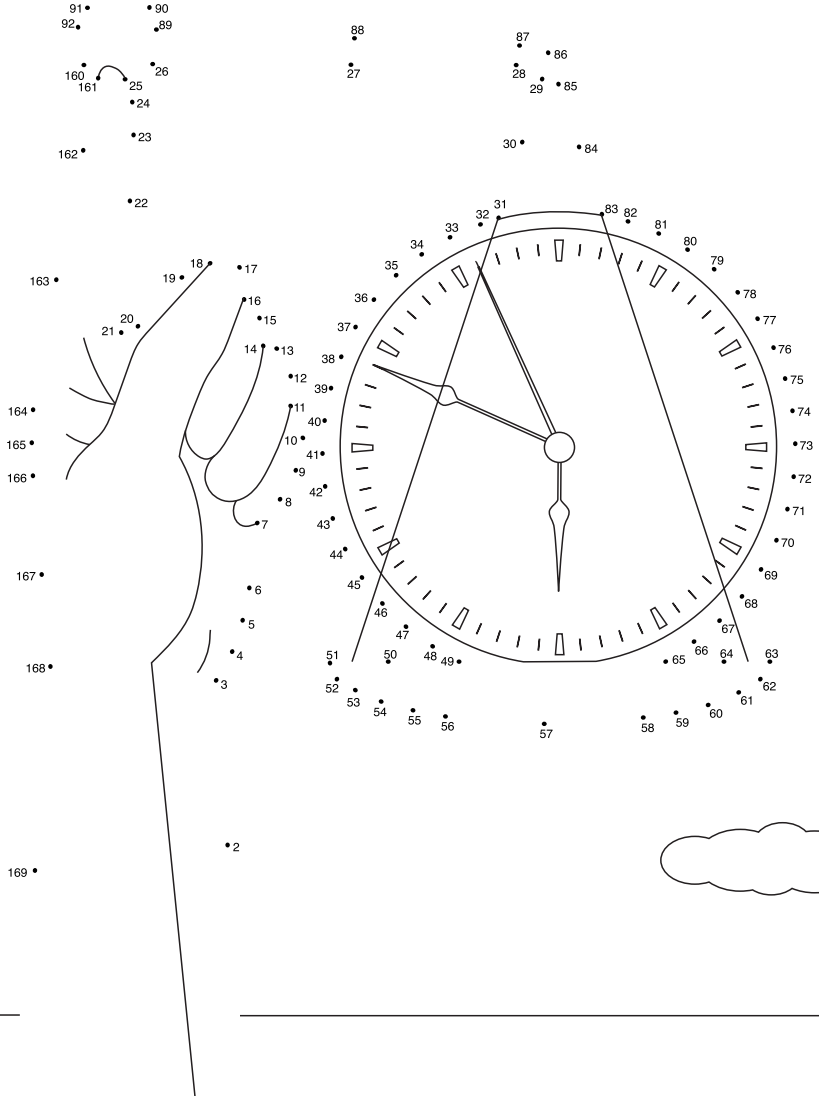
Ethical Dilemmas

Ethical dilemmas are created when organizations fail to articulate their principles, introduce ethical values and standards that are vague or hard to apply to one's role, and communicate ethical criteria that are at odds with the organization's true values. These dilemmas create uncertainty for employees that can lead to ethics and compliance failures.



Ethical Dilemmas





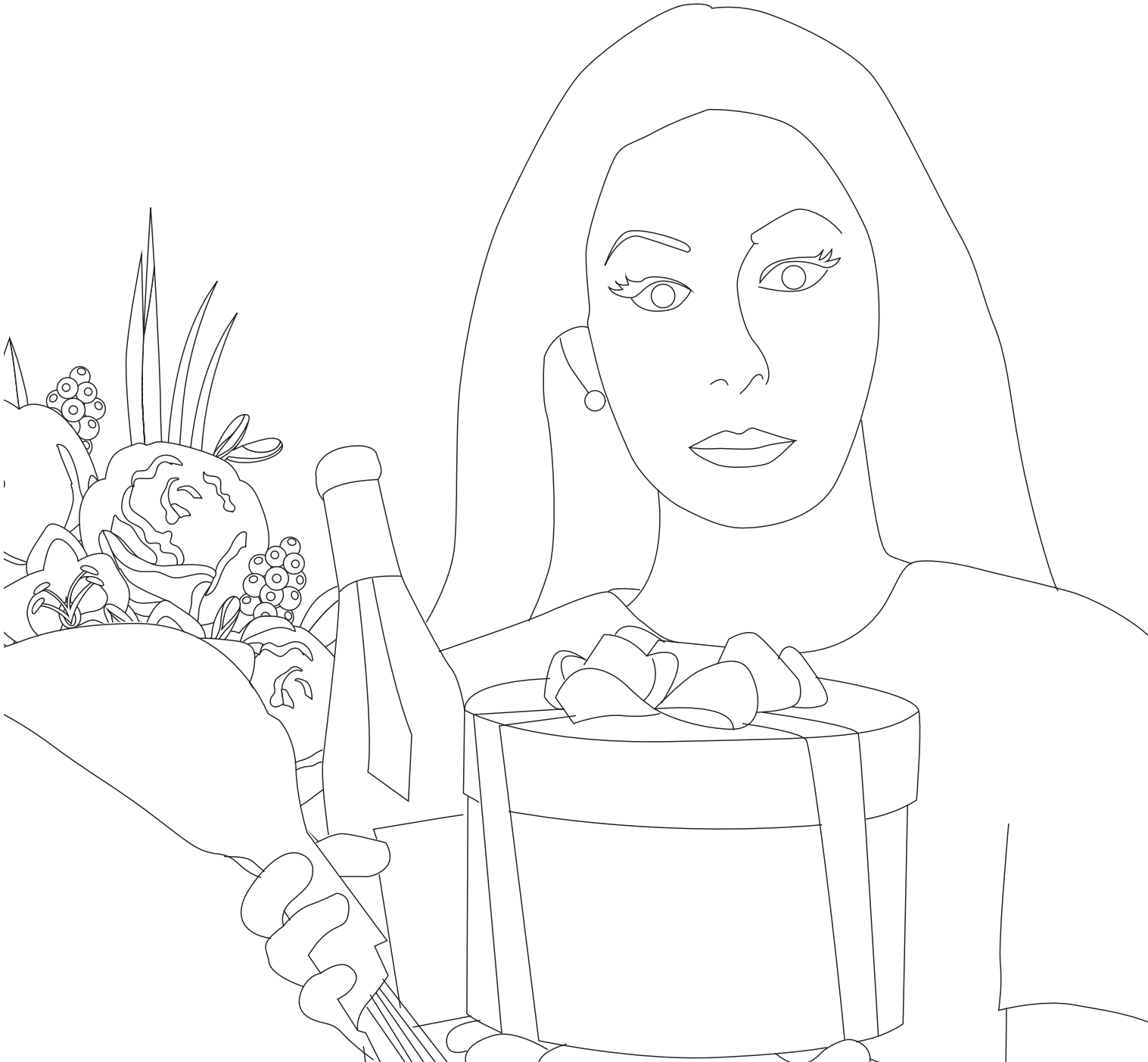
GDPR

Personal data is considered the oil of the twenty-first century. The EU General Data Protection Regulation (GDPR) went into effect in May 2018 and is considered the most important change in data privacy regulation in decades. It is designed to empower consumers with more ownership of their personal data and increase the consequences for organizations that mishandle it.

GDPR

Gifts & Hospitality Policies

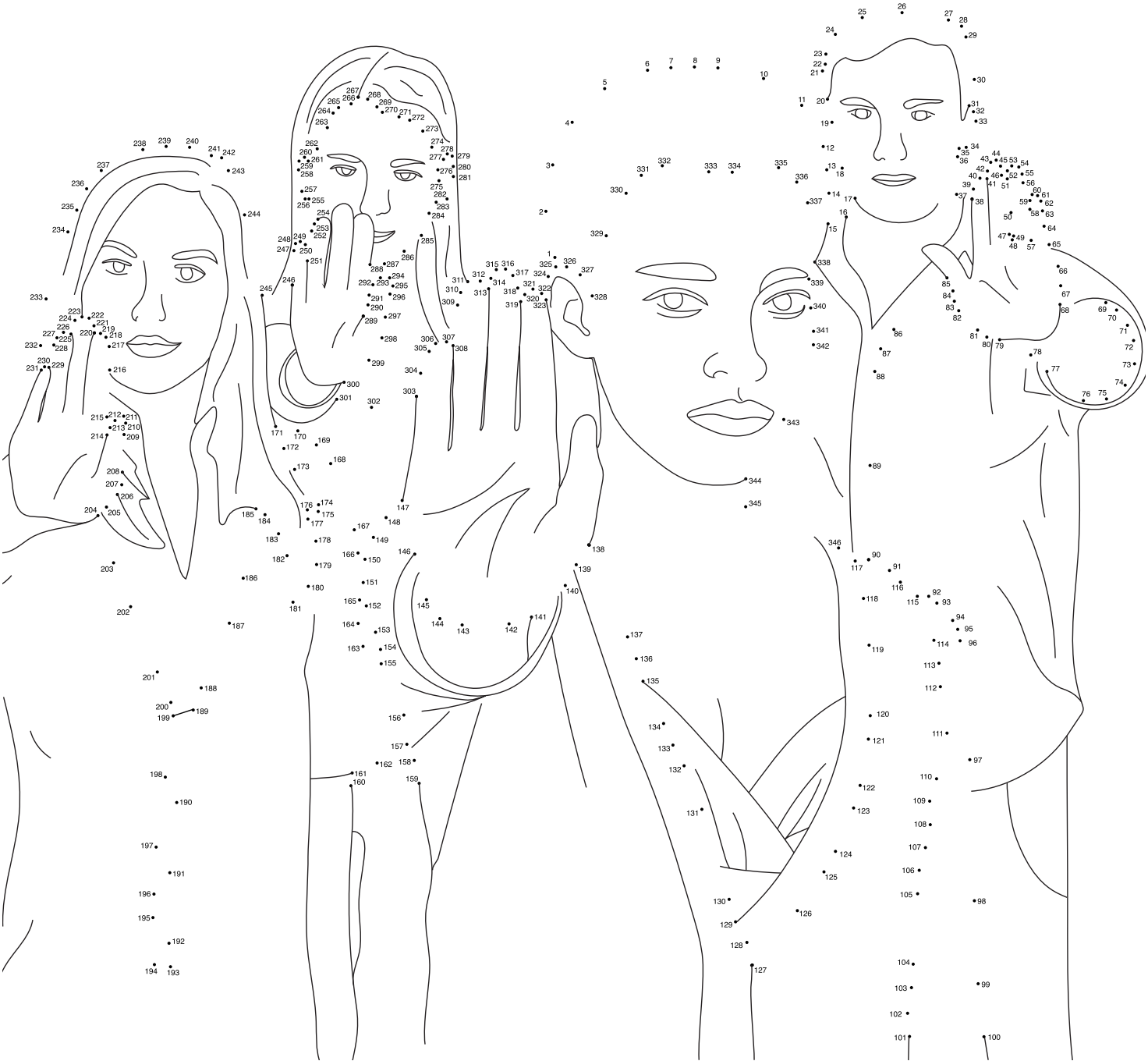
Do you know the difference between a gift and a bribe? Our gifts and hospitality policy exists to help you understand what you can and cannot accept from a vendor, supplier, or third party and give to them as a gift in return. It's not always a physical item, but can be anything of value that can influence a decision. Never let a gift or display of hospitality influence your ability to make an ethical decision.



Harassment and Misconduct

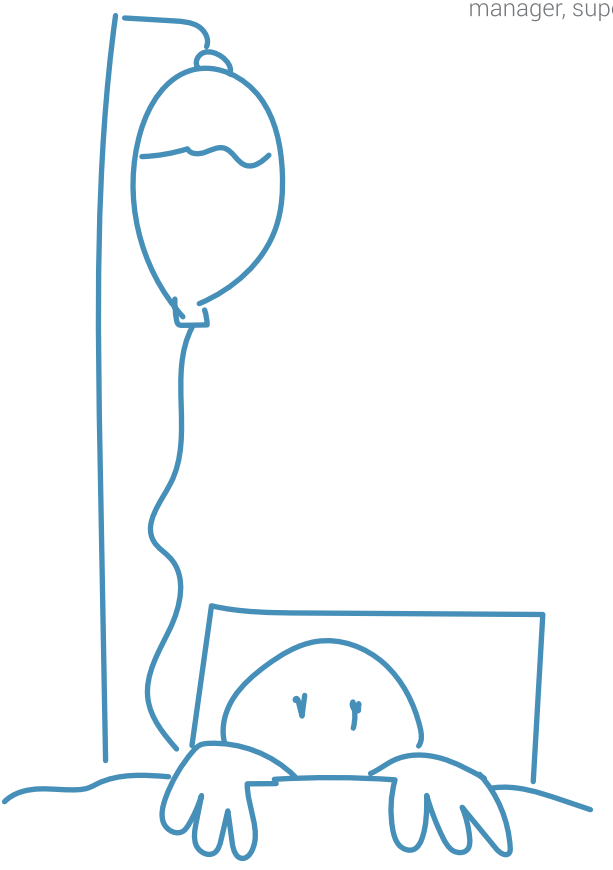
Every employee has the right to work in an environment free from harassment and discrimination. Along with that right comes a responsibility not to engage in harassing or discriminatory behavior. Sexual harassment can occur any time an employee is subjected to unwelcome comments, advances, or gestures of a sexual nature that create a hostile work environment. If you notice someone abusing their power to harass a co-worker, don't be afraid to speak up.

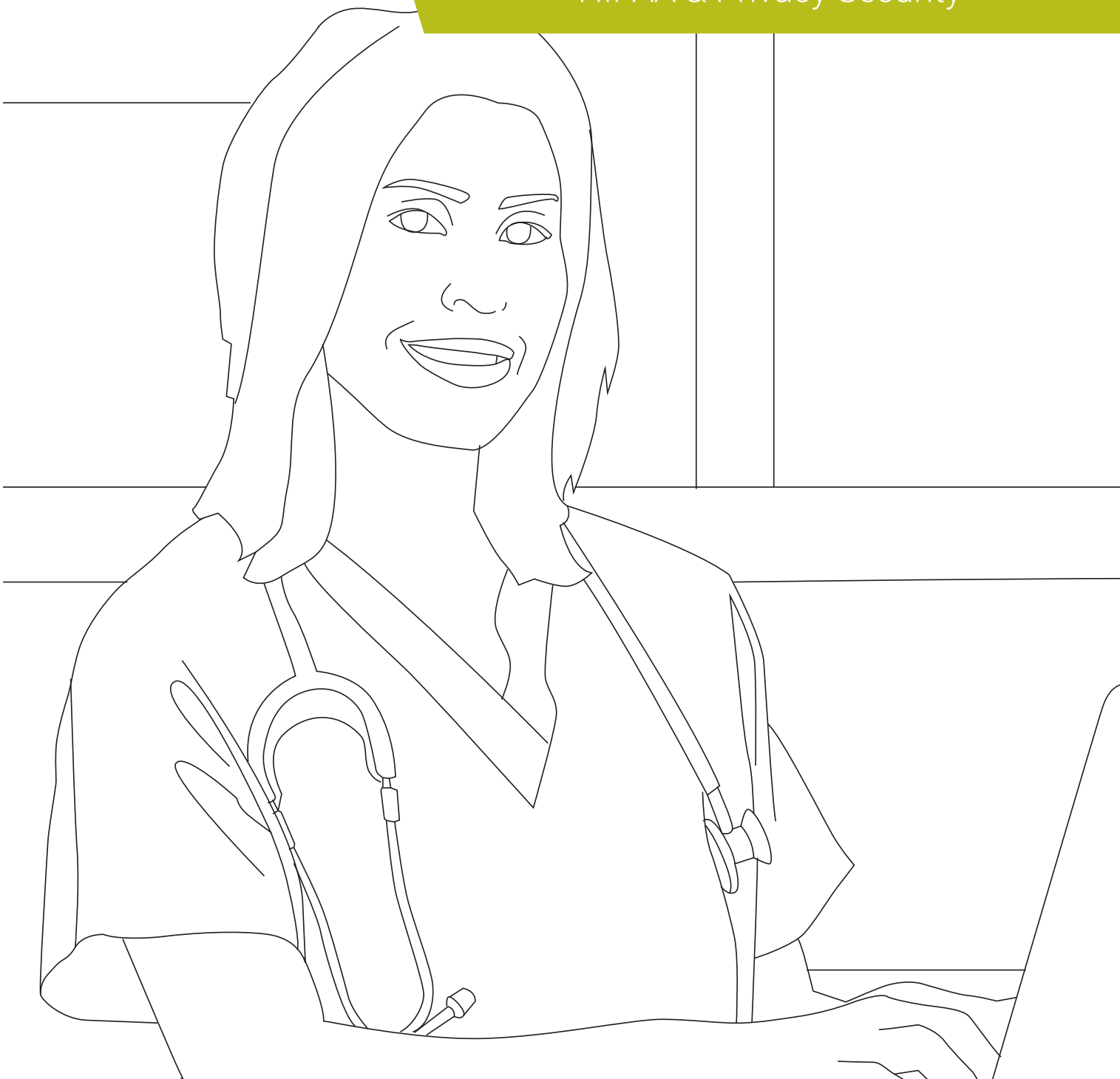
Harassment and Misconduct



HIPAA Privacy & Security

The HIPAA Privacy Rule is intended to protect the privacy of patient information and regulates how protected health information (PHI) can be used, shared, handled, ad disclosed. Failure to protect PHI can have serious adverse consequences, so if you suspect a breach of data privacy and security has occurred, speak up and let your manager, supervisor, or compliance officer know.





Integrated Risk Management (IRM)

Risk can come from anywhere, when you least expect it, and the consequences are real. In today's unpredictable environment, one misstep can go viral, threating not only your business operations but your most valuable asset: your reputation. An integrated approach to risk is not simply about checking a box; it's about taking advantage of opportunities while responding and adapting to change and disruption. IRM gives you a comprehensive view of risk across all levels of your enterprise so you can minimize threats and maximize opportunities.

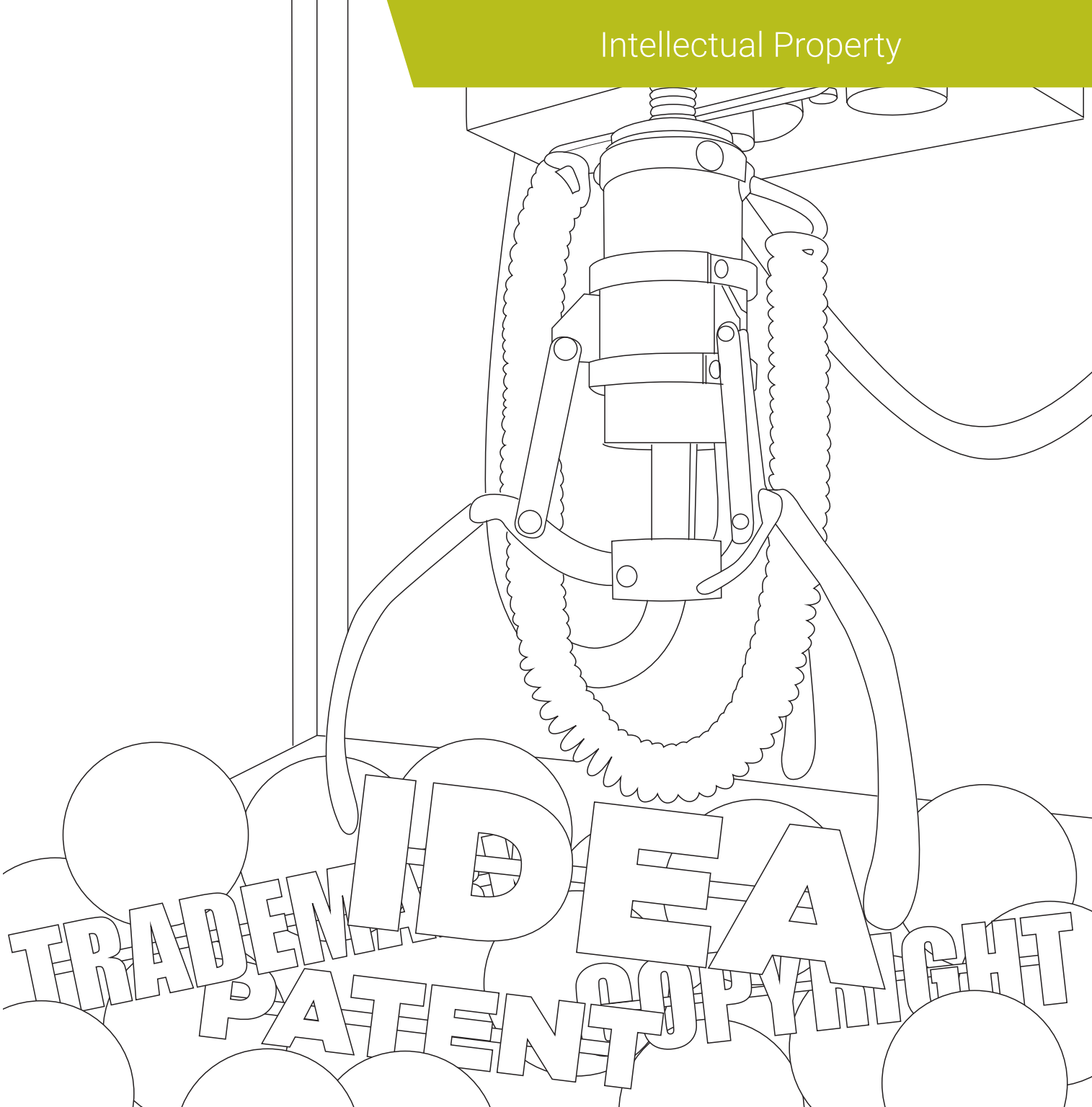
SAI360

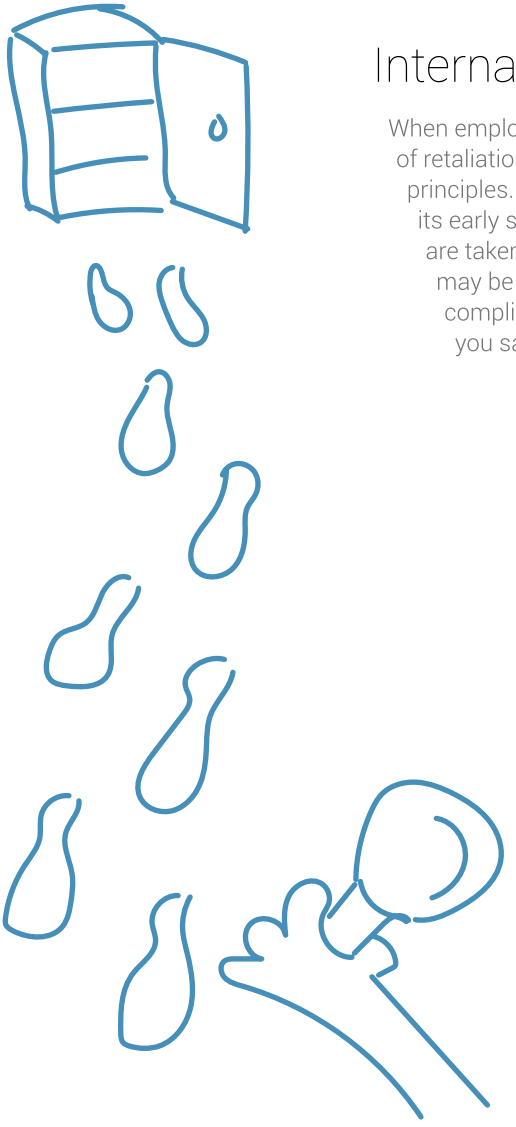
To learn more about SAI Global's Integrated Risk Management solution, SAI360, visit www.sai360.com today.

Integrated Risk Management (IRM)

Intellectual Property

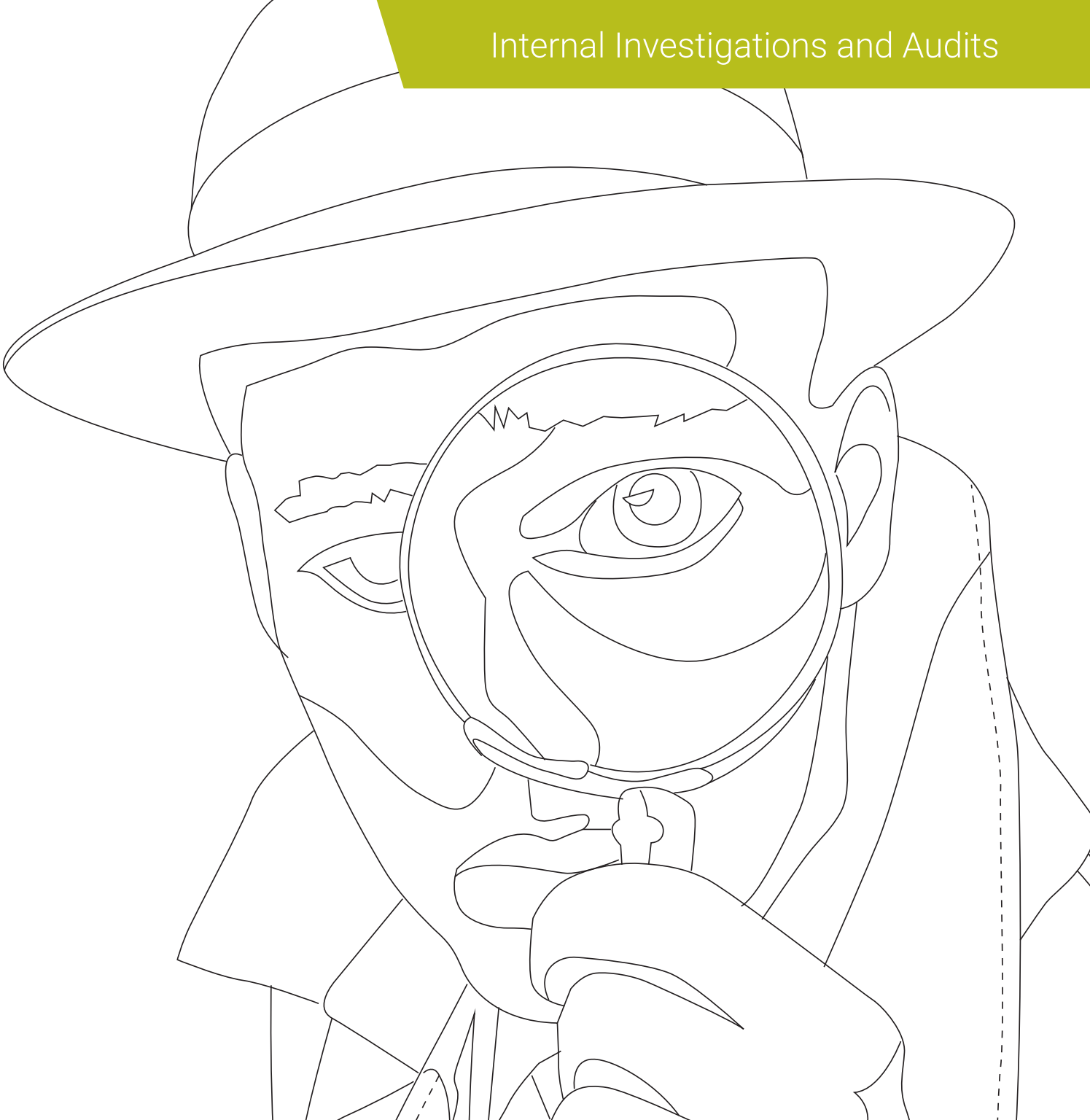
An organization's intellectual property is often its most valuable asset, and as such, is also most at risk of theft or loss. Intellectual property includes copyrights, trademarks, patents, confidential information, trade secrets, proprietary designs, and other data that can cause financial and reputational damage in the wrong hands.

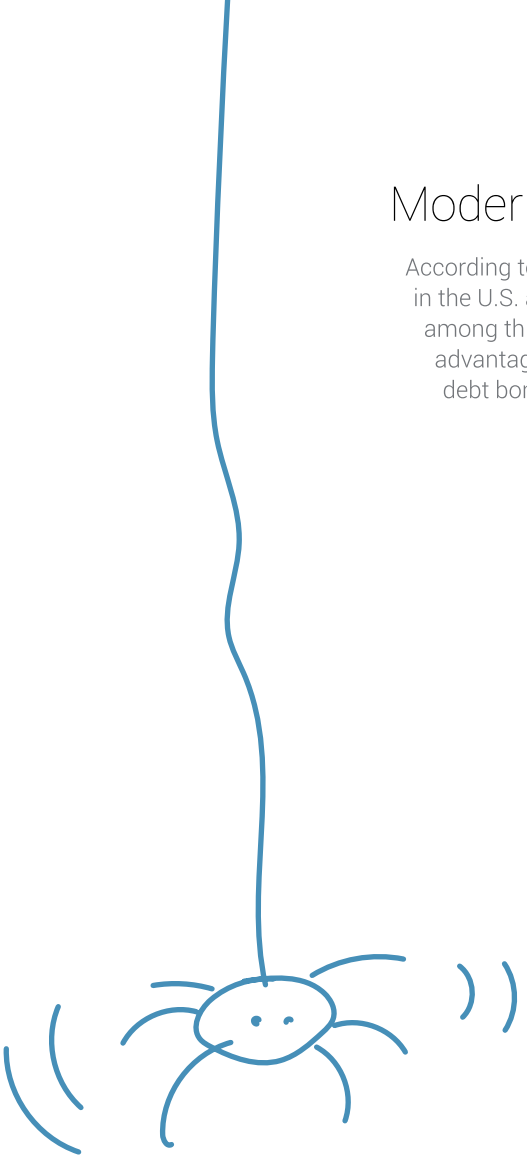

IDEA
TRADEMARK
PATENT
COPYRIGHT



Internal Investigations and Audits

When employees feel comfortable speaking up and reporting a concern without the fear of retaliation that signals employees' trust in the company's commitment to ethical principles. Investigating internal matters allows an organization to detect misconduct in its early stages, prevent and deter future wrongdoing, and demonstrate that concerns are taken seriously. If you've observed unethical conduct or feel like something illegal may be happening, use the proper channels to report it to your manager, supervisor, or compliance officer. Use the space below to write down who you would reach out to if you saw something unethical happen at work:





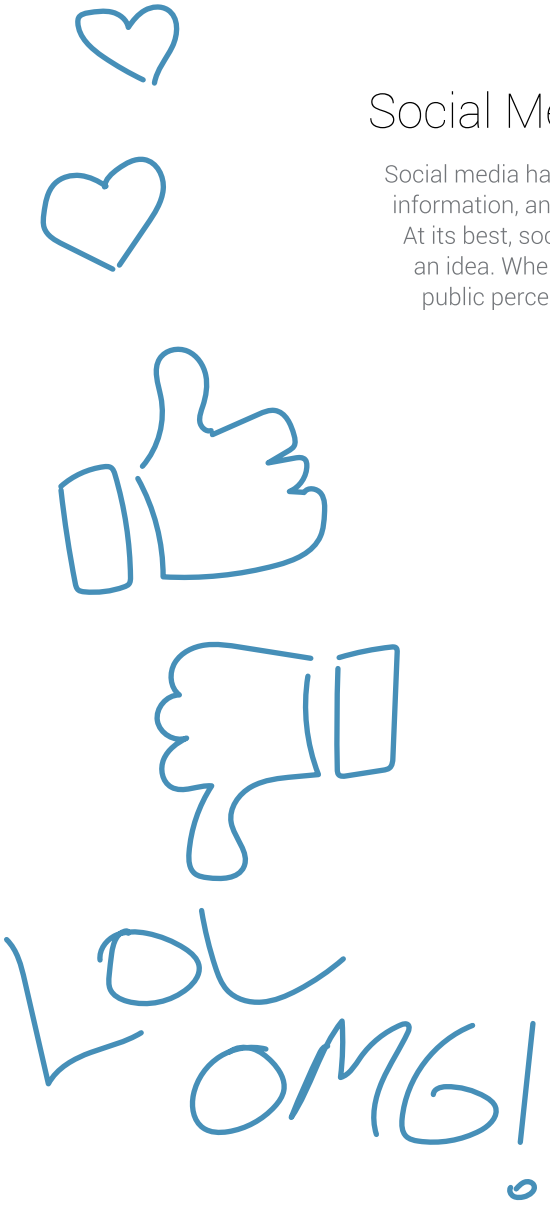
Modern Slavery

According to the Global Slavery Index, there are 400,000 people living in 'modern slavery' in the U.S. and an estimated 40 million around the world. Prevalent in supply chains and among third parties, modern slavery is the practice of exploiting, controlling, and taking advantage of women, children, and men that are unable to leave through forced labor, debt bondage, human trafficking and forced marriage.

Modern Slavery

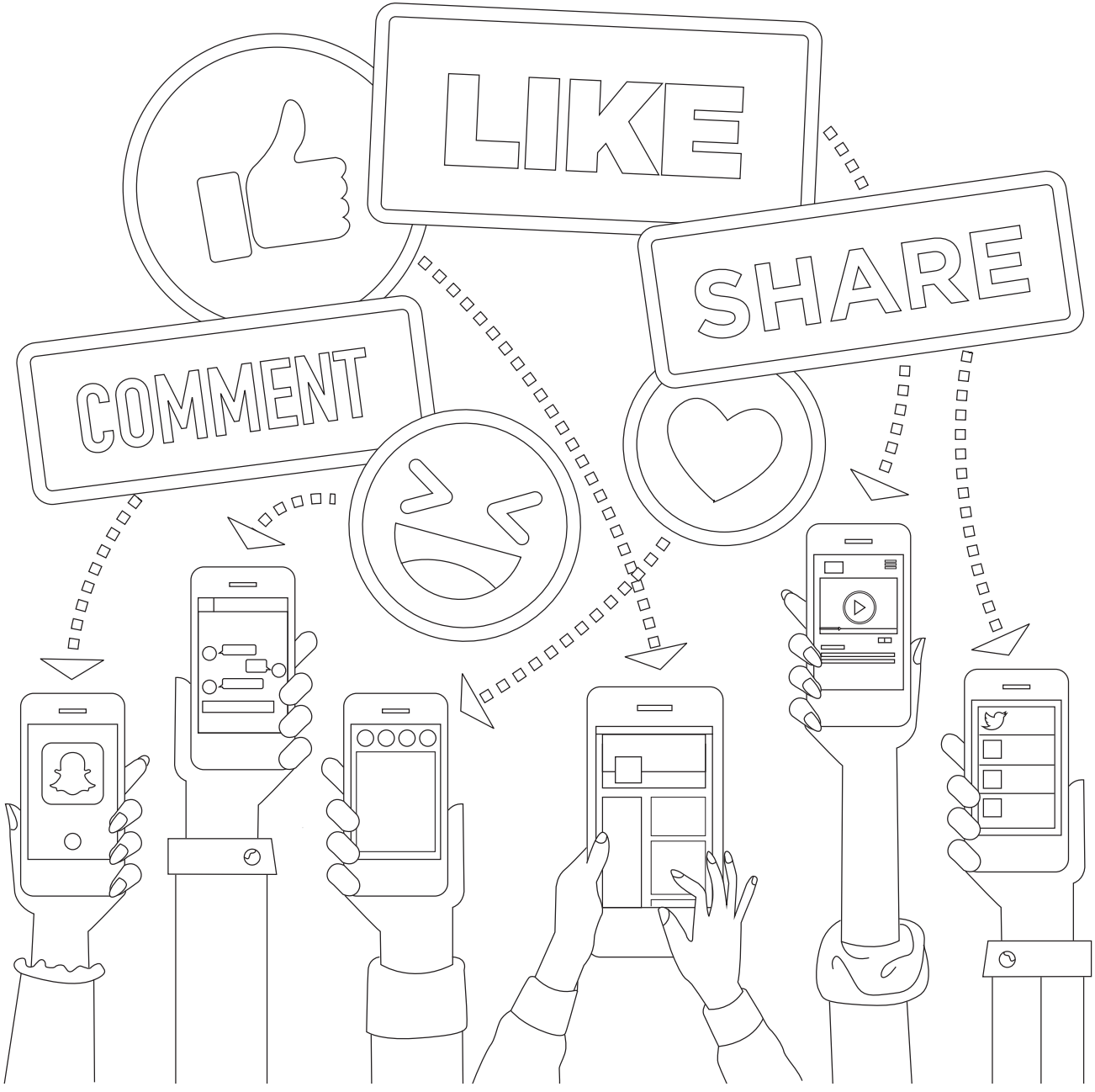
Third Party Risk

Third parties and vendors that act on behalf of an organization can pose significant dangers and risks if not properly vetted. They can open the doors to bribery, corruption, and other unethical behavior that goes against our values and Code of Conduct.

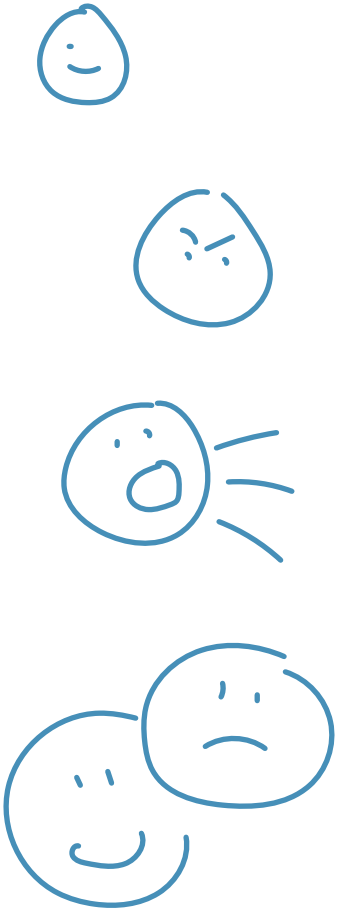


Social Media Risk

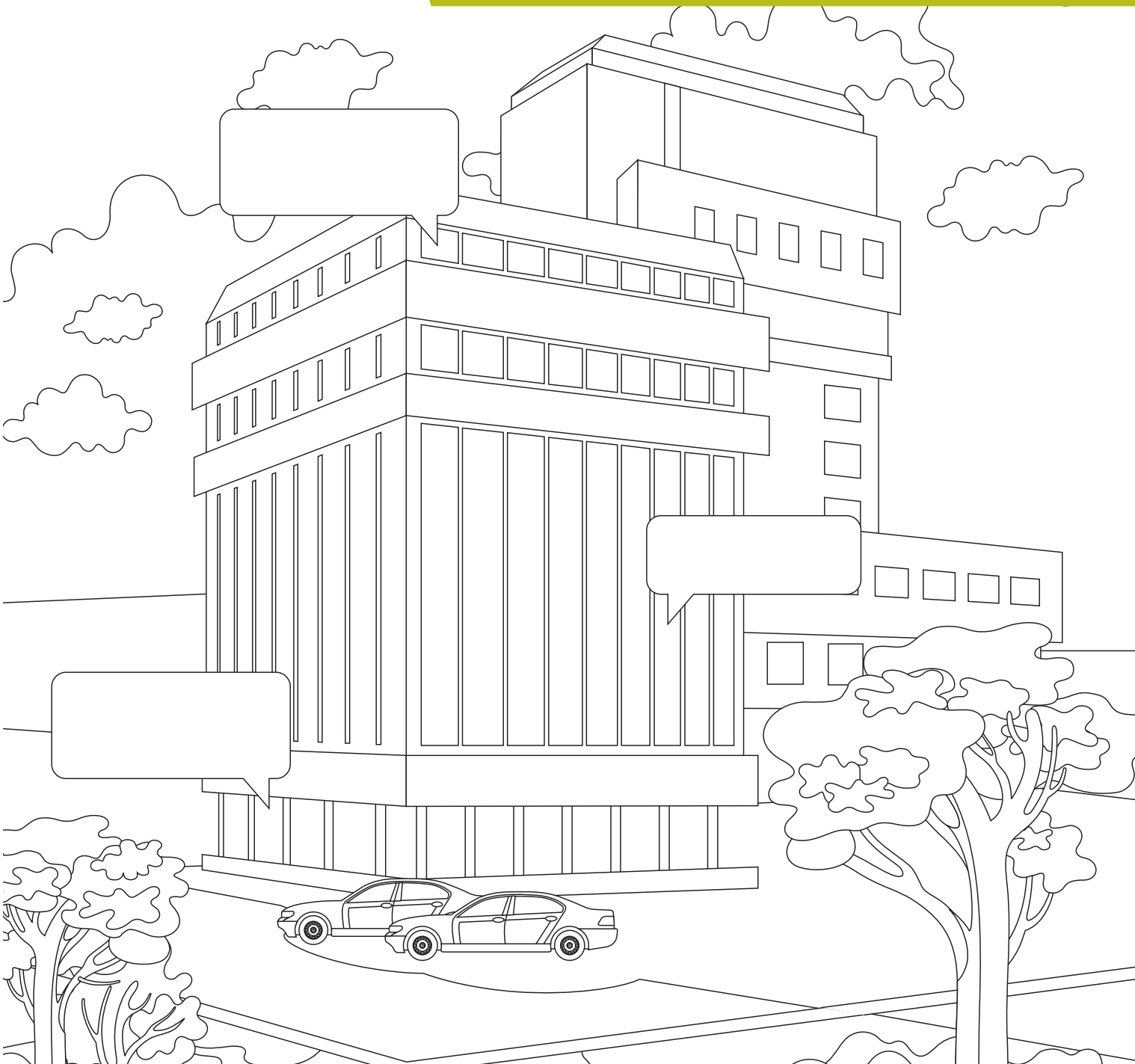
Social media has changed the way people around the world communicate and share information, and for organizations, it's a powerful tool with the ability to give and take. At its best, social media can bring people together and unite them in harmony around an idea. When used irresponsibly, it has the power to damage reputations, change the public perception, and create unintended outrage. Always think twice before you post.


LIKE
SHARE
COMMENT

Tone from the Top and the Middle

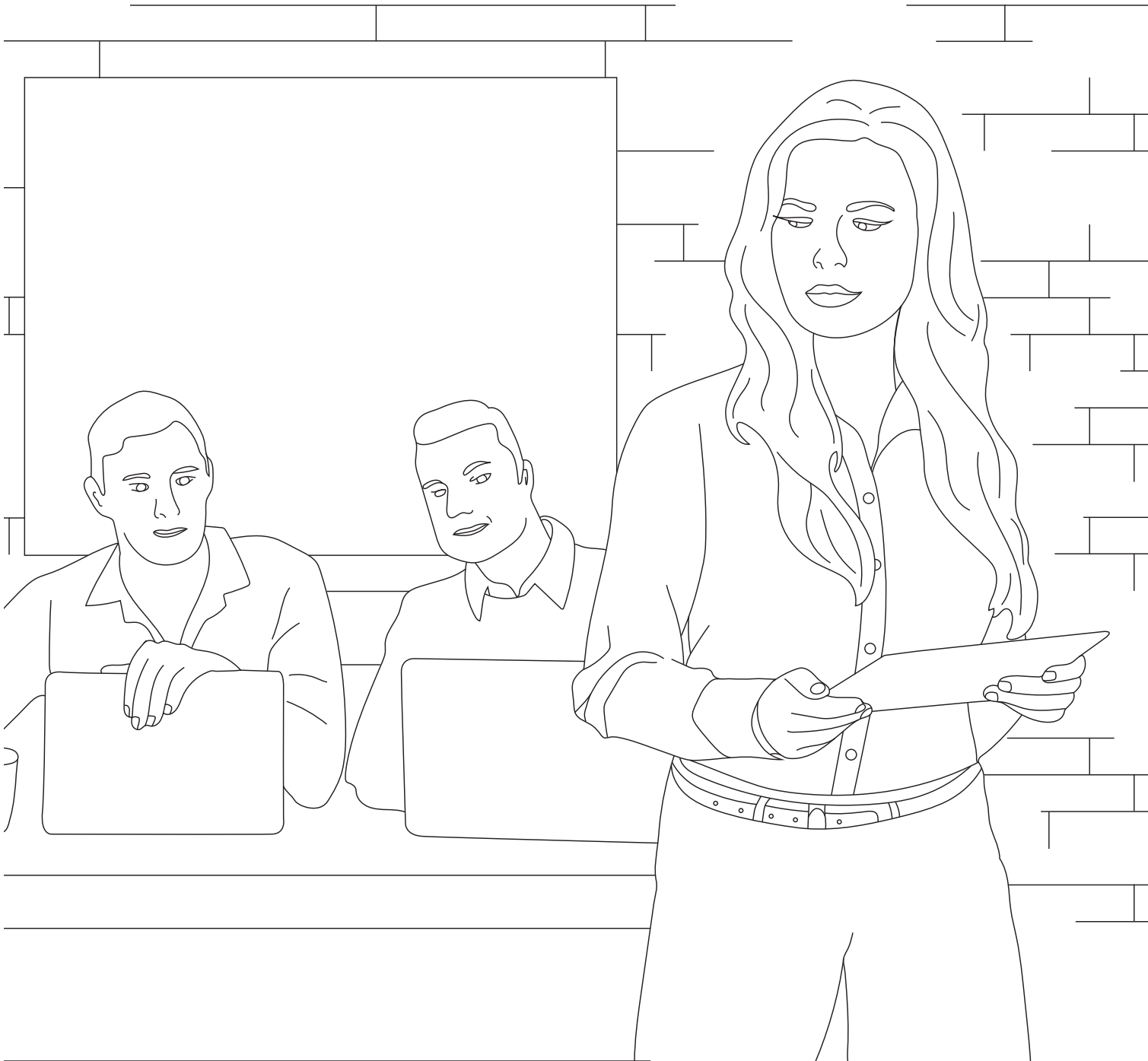


To build a culture where ethical behavior is valued and a compliance program can be truly effective, it is crucial that managers and leaders talk the talk and walk the walk. When people in power reinforce and support the messages and lessons shared by compliance through their words and actions, often referred to as 'tone from the top' and 'tone from the middle', they demonstrate to their peers and the employees they manage that E&C is truly important to the organization and not just something that we talk about.



Workplace Bullying and Gender Identity

Bullying is a pattern of behavior meant to intimidate, offend, degrade, or humiliate a person or group of people. In the workplace, bullying includes actions or verbal abuse that may hurt a person emotionally and psychologically, or interfere with the target's work performance. It's important to treat all people with respect and dignity, regardless of their gender identity or expression, and maintain an inclusive, courteous, judgement-free work environment.



About SAI Global

SAI Global, a provider of integrated risk management solutions, assurance and property services, helps organizations proactively manage risk to create trust and achieve business confidence, growth, and sustainability.

Our integrated risk management solutions are a combination of leading capabilities, services and advisory offerings that operate across the entire risk lifecycle allowing businesses to focus elsewhere. Together, these tools and knowledge enable clients to develop an integrated view of risk. To see our tools in action, request a free demo.

Our reach is global with locations across Europe, the Middle East, Africa, the Americas, Asia and the Pacific.
For more information visit **www.saiglobal.com**.

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